

UNITED STATES DE MENT OF COMMERCE Patent and Trademark C sice Address: ASSISTANT COMMISSIONER FOR PATENTS Box PCT Washington, D.C. 20231

U.S. APPLICATION NO.			FIRST NAMED	APPLICANT		ATTY DOGGO	
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KNOBBE MARTENS OLSON & I 620 NEWPORT CENTER DRIVE	- ` ' /	ې	<u>.</u>	L	INTERNATIONAL A	PPLICATION NO.	
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NEWPORT BEACH, CA 92660				I.A. F	ILING DATE	PRIORITY DATE	
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NOTIFICATION OF M	AISSING REC	UIREMENT	S UNDER 3	35 U.S.C. 3	71 IN THE U	NITED	. 200
1. The following items have been su a Designated Office	(37 CFR 1 49.	applicant of it	ie in to the	United State	s Patent and	Trademark Office as	
an Elected Office (3'	7 CFR 1.495):	7,			•		
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a non-English langua	ıge.						
☐ English.							:
Translation of the international	al application i	nto English.					
Oath or Declaration of inventon Copy of Article 19 amendmen	ors(s) for DO/	EO/US.			•		
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The International Preliminary	Examination	engusu. Penort in Engli			,		
Translation of Annexes to the	International 1	reliminary Ev	ish and its A	nnexes, if a	iny.	•	
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Information Disclosure States	nent(s) filed_		and		 '		
Assignment document.					 -	•	
Power of Attorney and/or Cha	ange of Addres	S					
Verified Statement Claiming	Small Fasie G	 ·					
Priority Document.	man entry St	atus.					
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; Cuici.						•	
2. The following items MUST be fur acceptance under 35 U.S.C. 371:	nished within	he period set f	orth below	in order to c	omplete the r	equirerments for	
a. Translation of the application appropriate 20 or 30 months for	n into English	Note a proce	essing fee w	ill be requir	ed if submitte	d later than the	•
The current translat Translation.							•
b. Processing fee for providing 30 months from the priority da	g the translatio	n of the applica	ation and/or	the Annexe	s later than th	18 Appropries 20	
30 months from the priority da	ite (37 CFR 1.	492(f)).			a meei mmi m	ic appropriate 20 or	
c. Oath or declaration of the in the International application nu	iventors, in co	npliance with	37 CFR 1.4	97(a) and (t), identifying	the application by	
The current oath or do on the attached PCT/I							
M d. Surcharge for providing the	oath or declar	ation later than	the approp	riate 20 or 3	0 months from	m the priority data	
3. Additional claim fees of \$	as a 📙	large entity L	small entit	y, including	any required	multiple dependent	
claim fee, are required. Applicant mudue. See attached PTO-875.	st submit the a	dditional claim	tees or can	cel the addir	tional claims f	or which fees are	
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ALL OF THE ITEMS SET FORTH	IN 2(a)-2(d) A	ND 3 ABOV	E MUST B	E SUBMIT	TED WITHI	N ONE MONTH	
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The time period set above may be exte CFR 1.136(a).	nded by filing	a petition and	fee for exter	ision of time	e under the pr	ovisions of 37	
1. Translation of the Annexes MUST	be submitted n	later that the	time period	set above o	r the annexes	will be cancelled	
tote processing ree will be required if	submitted late	r than 30 mont	ns from the	priority date			
5. The Article 19 amendments are (194(d)) or 30 (37 CFR 1.495(d)) month	ancelled since	a translation v	vas not prov	ided by the	appropriate 20	0 (37 CFR.	
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Applicant is reminded that any commun	nication to the	United States I	atent and T	rademark O	ffice must be	mailed to the	
duress given in the heading and includ	ie ine U.S. app	lication no. sh	owa above.	(37 CFR 1,	5)	71	
A copy of this notice	MUST b	e returne	d with t	his pest	zonse./_	\ //	
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UNITED STATES DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO.	FIRST NAMED		ED APPLICANT	ATTY, DOCKET NO.	
09/700988		YATA	S	SAEGU64.001A	
KNOBBE MARTENS OLSON &		INTERN	INTERNATIONAL APPLICATION NO.		
620 NEWPORT CENTER DRIVE SIXTEENTH FLOOR		P	PCT/JP99/02658		
NEWPORT BEACH, CA 92660			I.A. FILING	DATE PRIORITY DATE	\neg
			20 MAY	99 20 MAY 98	
NOTIFICATION OF I	MICEINO	BEOLUBEA CAMO VALANT	DATE MAILED:	N THE UNITED 03	JAN 2001
STAT	VIISSING TES DESIG	REQUIREMENTS UNDER GNATED/ELECTED OFF	K 35 U.S.C. 371 <u>II</u> ICE (DO/EO/IIS)	N THE UNITED U	0.111 200
 The following items have been st 	ubmitted b	y the applicant or the IB to the	ne United States Par	tent and Trademark Office	as as
☐ a Designated Office	(37 CFR	1.494),			
an Elected Office (3	37 CFR 1.4	1 95):	DOCKETED O	ON: JAN 99 200	1/
U.S. Basic National Fee.		Journal of the second of the s	VERIFIED BY		
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Translation of the internation	ial applica	tion into English.	<u> </u>		
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Translation of Article 19 am	endments .	into English.		CONCRETION OF DUE DA	TE
The International Preliminar	y Examina	tion Report in English and it	s Annexes Hiny.	EHIFICATION OF DUE DA	.15
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Preliminary amendment(s) f		and	Lacrana		
Information Disclosure State	ement(s) fi	ledar	ıd	<u></u> .	
Assignment document.					
Power of Attorney and/or Ch		ddress.			
Substitute specification filed		·			
Verified Statement Claiming	Small Ent	ity Status.			
Priority Document.					
Copy of the International Sca	irch Repor	t 🗷 and copies of the refere	nces cited therein.		
2. The following items MUST be fu	irnichad w	ithin the period set forth hale	uu in order to some	dote the enquirement for	
acceptance under 35 U.S.C. 371:	ишышей м	main the period set forth beit	ow in order to comp	nete the requirements for	
a. Translation of the applicat	ion into Er	nglish. Note a processing fe	will be required if	f submitted later than the	
appropriate 20 or 30 months			· ····· oc requires ii	sommed later than the	
The current transl.	ation is d	efective for the reasons in	dicated on the at	tached Notice of Defecti	ve
b. Processing fee for providing 30 months from the priority of	ng the tran	slation of the application and FR 1.492(f)).	lor the Annexes lat	ter than the appropriate 20	or
c. Oath or declaration of the	inventors,	in compliance with 37 CFR	1.497(a) and (b), id	dentifying the application b	oy [,]
the International application i	number an	d international filing date.			
on the attached PCT		does not comply with 37 C	FR 1.497(a) and (b)) for the reasons indicated	
d. Surcharge for providing th (37 CFR 1.492(c)).	e oath or o	declaration later than the app	ropriate 20 or 30 m	nonths from the priority date	te
3. Additional claim fees of S	a:	s a 🔲 large entity 🔲 small e	ntity, including any	required multiple depend	ent
claim fee, are required. Applicant m due. See attached PTO-875.	nust submi	the additional claim fees or	cancel the additiona	al claims for which fees ar	e
due. See anached FTO-0/3.					

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY \square 21 OR \boxtimes 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

Date: January 31, 2001

International Application No. PCT/JP99/02658



Attorney Docket No. SAEGU64.001APC

Page 1



I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

January 31, 2001

(Date)

Katsuhiro Arai, Reg. No. 43,315

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC 371

International Application No.: PCT/JP99/02658
International Filing Date: May 20, 1999

Priority Date Claimed: May 20, 1998

Title of Invention: NON-AQUEOUS SECONDARY BATTERY AND ITS CONTROL METHOD

Applicant(s) for DO/EO/US: Shizukuni Yata, Haruo Kikuta, Hajime Kinoshita, Hiroyuki Tajiri and

Shiro Kato

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- (X) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- (X) Copy of Notification of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US) dated January 3, 2001.
- (X) SIGNED Declaration and Power of Attorney of the inventors (35 U.S.C. 371(c)(4)) with one (1) page attachment for the Prior Foreign applications Information.
- (X) A copy of the International Preliminary Examination Report.
- (X) A return prepaid postcard.
- (X) The fee of \$130.00 for submission of the Declaration after 30 months from the priority under 37 C.F.R. 1.492(e).
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1410. A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:

KNOBBE, MARTENS, OLSON & BEAR, LLP 620 Newport Center Drive Sixteenth Floor Newport Beach, CA 92660 Katsuhiro Arai Registration No. 43,315

Agent of Record